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July 2004 - 3C's securities arm becomes member of the London Sto

TRANSACTIONS

Since incorporation, 3C has successfully completed numerous transactions comprising equity capital raisings, including IPOs, pre-IPO private placements, M&A transactions, corporate derivatives and block trades.

Client	Deal Type	Transaction Value	Date	3C's Role	Deal Summary
Cobra Beer Limited	Capital Raising	£30mn	Pending	Lead Manager	
Iron Condor	Second Closing	TBA	Pending	Investment Manager & Adviser	
Danube II	Second Closing	€35mn	June 2008	Asset Manager	
Ocean Outdoor	Private Placement	€5.5mn	May 2008	Sole Financial Adviser	PDF
Vendor	Acquisition of Mercedes Office Building, Bucharest	€16.5mn	April 2008	Investment Adviser	
Vendor	Acquisition of Buzesti Office Building, Bucharest	€26.65mn	January 2008	Investment Adviser	
Iron Condor	First Closing	c.\$5mn	Sep 2007	Investment Manager & Adviser	
Karelian Diamond Resources PLC	Second Offering	£1mn	July 2007	Broker and Financial Adviser	PDF
Emerald Energy PLC	Convertible Bond	\$30mn	July 2007	Financial Adviser	PDF
Life Trust Holdings plc	Private Placement	€25mn	June 2007	Lead Manager & Bookrunner	PDF
RI3K	Capital Raising & Recapitalisation	c. £20mn	May 2007	Sole Financial Adviser	PDF
Tynda Forest Holdings Ltd	Merger	\$475mn	May 2007	Financial Adviser	
Pamplona Capital Management	Acquisition of Johnson Matthey Ceramics Division	€226mn	Feb 2007	Sole Financial Adviser	PDF
Vendor	Acquisition of UPC Office Building, Bucharest	€10mn	Dec 2006	Investment Adviser	PDF
Vendor	Acquisition of Vodafone Office Building, Bucharest	€24mn	Nov 2006	Investment Adviser	PDF
Cobra Beer Limited	Capital Raising of PIK Notes & Warrants	£30mn	June 2006	Lead Manager	PDF
Conroy Diamonds & Gold	Equity Placing	£500,000	April 2006	Corporate Broker.	PDF
Money Portal plc	Convertible Preference Shares	£25.2mn	March 2006	Lead Manager	PDF
Atlas Estates Ltd	IPO	£110mn	March 2006	Co-Lead Manager	
AM2 (Pre-IPO fund)	First closing	\$5mn	Jan 2006	Investment & Lead Manager	
Korokan	Seed Capital Raising	\$15mn	Dec 2005	Investment & Lead Manager	
Cobra	Placing of Convertible Cumulative Redeemable Preference Shares	£3mn	Sep 2005	Financial Adviser	PDF
Tynda Forest Holdings Ltd	Pre-IPO placement	\$11mn	July 2005	Financial Adviser	PDF
Telephonetics plc	Reverse Takeover by Leptis Magna plc and AIM Listing	£20mn	June 2005	Adviser/ Bookrunner	PDF
Cobra	Placing of Convertible Cumulative Redeemable Preference Shares	Over £5mn	June 2005	Financial Adviser	PDF
RBC	Secondary Offering	\$28mn	June 2004	Financial Adviser	PDF
BKN	Rights Issue	€4mn	June 2004	Placing Agent	
Cardinal Resources	Pre-IPO placement	\$9.5mn	April 2004	Financial Adviser	PDF
Centaur Holdings	Accelerated IPO	£134mn	March 2004	Placing Agent	PDF
Bema Gold	Convertible bond	\$70mn	Feb 2004	Placing Agent	
Vedanta	IPO	£510mn	Dec 2003	Selling Agent	
Trans-Siberian Gold	IPO	£16mn	Nov 2003	Placing Agent	PDF
Highland Gold	Placing of 31.7% of the company	\$130mn	Oct 2003	Lead Arranger & Bookrunner	PDF
Trans-Siberian Gold	Pre-IPO placement	\$4mn	Aug 2003	Corporate Adviser	PDF
Monsoon	Structured offer of puts (SOP)	£50mn	July 2003	Financial Adviser to Offeror	PDF
RBC	Bond with warrants	\$6mn	June 2003	Financial Adviser	PDF
Euroad	Strategic Sale	€16mn	April 2003	Financial Adviser	PDF
Highland Gold	IPO	£25mn	Dec 2002	Capital Markets Adviser	PDF
RBC	IPO on RTS and MICEX	\$13mn	April 2002	Financial Adviser	PDF